

Please type a plus sign (+) inside this box ☐

PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**UTILITY PATENT APPLICATION
TRANSMITTAL** (Only for new
nonprovisional applications under 37 CFR
1.53(b))

Attorney Docket No. **74119-004**
First Inventor **Alan Franklin**
Title **Trans-Scleral Drug Delivery Method and Apparatus**
Express Mail No. **EV332071240US**

22278 U.S. PTO
10/791487

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
2. ☒ Specification [Total Pages 20]
(preferred arrangement set forth below)
☒ Descriptive title of the invention
☐ Cross Reference to Related Applications
☐ Statement Regarding Fed sponsored R & D
☐ Reference to sequence listing, a table,
or a computer program listing appendix
☒ Background of the Invention
☒ Brief Summary of the Invention
☒ Brief Description of the Drawings (if filed)
☒ Detailed Description
☒ Claim(s)
☒ Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
4. Oath or Declaration [Total Pages 2]
a. ☒ Newly executed (original or copy)
b. ☐ Unexecuted
c. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

5. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment and Recordation Form Cover Sheet
8. ☐ Statement under 37 CFR 3.73(b)
9. ☒ Power of Attorney
10. ☐ Transmittal of Information Disclosure Statement, Form 1449 and
References
11. ☐ Preliminary Amendment
12. ☒ Application Data Sheet
13. ☒ Return Receipt Postcard
14. ☒ Certificate of Express Mailing
15. ☐ English translation document
16. ☐ Certified copy of priority document
17. ☐ Non Publication Request Under 35 USC 122
17. ☒ Check
18. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The
incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

029493

(Insert Customer No. or Attach bar
code label here)

or

☐ Correspondence address below

Name	H. Frederick Rusche, Reg. No. 45,061				
Address	Husch & Eppenberger, LLC 190 Carondelet Plaza				
City	St. Louis	State	MO	Zip Code	63105
Country	U.S.A.	Telephone	314-480-1500	Fax	314-480-1505

PATENT APPLICATION FEE DETERMINATION RECORD				Application or Docket Number 74119-003			
CLAIMS AS FILED - PART I				SMALL ENTITY		OR OTHER THAN SMALL ENTITY	
FOR	(Column 1) NUMBER FILED	(Column 2) NUMBER EXTRA		RATE	FEE		RATE
BASIC FEE (37 CFR 1.16(a))					375.00		\$750.00
TOTAL CLAIMS (37 CFR 1.16(c))	21 minus 20 =	1		x \$9.00 =	9.00	OR	x \$18.00 = 00.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	6 minus 3 =	3		x \$42.00 =	126.00	OR	x \$84.00 = 00.00
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))					+ \$140.00 =	OR	+ \$280.00 = 0.00
* If the difference in column 1 is less than zero, enter "0" in column 2					TOTAL		TOTAL \$00.00

- ☒ Check No. 375211 in the amount of **\$510.00** is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 08-3460 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \$ _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: March 2, 2004

H. Frederick Rusche, Reg. No. 45,061
Husch & Eppenberger, LLC
190 Carondelet Plaza
St. Louis, MO 63105
314-480-1500
314-480-1505 FAX

Customer No. 029493

CERTIFICATE OF EXPRESS MAILING I certify that the documents and fee are being deposited on March 2, 2004 with the U.S. Postal Service as Express Mail under 37 C.F.R. 1.10 and are addressed to Mail Stop PATENT APPLICATION, P.O. Box 1450, Alexandria, VA 22313-1450. Express Mail No. EV332071240US Signature of Person Mailing Correspondence Lisa Schodrowski Typed or Printed Name of Person Mailing Correspondence

EXPRESS MAIL CERTIFICATE OF MAILING (37 CFR 1.10)**Express Mail No.:** EV332071240US**Docket No.**

74119-004

Applicant(s):

Alan Franklin

Serial No.
New**Filing Date**
Herewith**Examiner**
TBD**Group Art**
TBD**Title:**

Trans-Scleral Drug Delivery Method and Apparatus

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Transmitted herewith is:

- ☒ Application Patent Cover Sheet
- ☒ Specification (20 pgs)
- ☒ Informal Drawings (7 pgs)
- ☒ Declaration
- ☒ Power of Attorney
- ☒ Check Number 375211 in the amount of \$510.00
- ☒ Postcard

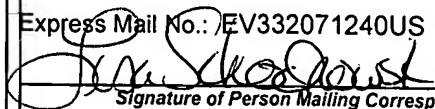


3/2/04

H. Frederick Rusche, Reg. No. 45,061
Husch & Eppenberger, LLC
190 Carondelet Plaza
St. Louis, MO 63105
314-480-1500
314-480-1505 FAX

Customer No. 029493

I certify that the document and fee is being deposited on
March 2, 2004 with the U.S. Postal Service as
Express Mail under 37 C.F.R. 1.10 and is addressed to the Mail
Stop Patent Applications, P.O. Box 1450, Commissioner for
Patents, Box Provisional Patent Application, Alexandria, VA
22313-1450.

Express Mail No.: EV332071240US

Signature of Person Mailing Correspondence

Lisa Schodrowski

Typed or Printed Name of Person Mailing Correspondence

P16B/REV01